Assessment Summary Sheet for UKAB Meeting on Wednesday 19th July 2023

Total	Α	В	С	D	E
14	0	5	5	0	4

Airprox	Aircraft 1 (Type)	Aircraft 2 (Type)	Airspace (Class)	ICAO Risk
2022237	Wildcat (RN)	Tutor(A) (RN)	Yeovilton MATZ (G)	С
2023005	Phenom (HQ Air Trg)	Tutor (HQ Air Trg)	Cranwell CMATZ (G)	С
2023012	C140 (Civ FW)	PA28 (Civ FW)	London FIR (G)	С
2023013	C42 (Civ FW)	CTSW (Civ FW)	Shobdon ATZ (G)	С
2023016	A32 Vixxen (Civ FW)	Christen Eagle (Civ FW)	London FIR (G)	В
2023017	Duo Discus (Civ Gld)	BE58 (Civ FW)	London FIR (G)	В
2023021	T67 (Civ FW)	PA28 (Civ FW)	London FIR (G)	С
2023024	Hawk (Foreign Mil)	LS8 (Civ Gld)	Leeming CMATZ (G)	В
2023025	DR400 (Civ FW)	PA28 (Civ FW)	Sleap ATZ / London FIR (G)	В
2023026	DA42 (Civ Comm)	PA28 (Civ FW)	London FIR (G)	В
2023028	Tutor (HQ Air Trg)	C172 (Civ FW)	London FIR (G)	Е
2023030	AS365 (HQ JHC)	Jabiru (Civ FW)	London FIR (G)	Е
2023031	H175 (Civ Comm)	S92 (Civ Comm)	Scottish FIR (G)	Е
2023034	Prefect (HQ Air Trg)	Yak 18T (Civ FW)	London FIR (G)	Е

	2022237			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Ground Elemen	ts		
	• Regulations, P	Processes, Procedures and Compl	liance	
1	Organisational	Aeronautical Information Services	An event involving the provision of Aeronautical Information	The Ground entity's regulations or procedures were inadequate
	Situational Av	vareness and Action		
2	Human Factors	ANS Traffic Information Provision	Provision of ANS traffic information	TI not provided, inaccurate, inadequate, or late
	Flight Elements			
	Situational Av	vareness of the Conflicting Aircra	oft and Action	
3	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• See and Avoid			
4	Human Factors	Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots

	2023005				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Ground Elements				
	Situational Awareness and Action				
1	Human Factors	• ANS Traffic Information Provision	Provision of ANS traffic information	TI not provided, inaccurate, inadequate, or late	

	Electronic Warning System Operation and Compliance				
2	Technical	Conflict Alert System Failure	Conflict Alert System did not function as expected	The Conflict Alert system did not function or was not utilised in this situation	
	Flight Eleme	nts			
	Tactical Plants	anning and Execution			
3	Human Factors	• Insufficient Decision/Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption	
	Situationa	l Awareness of the Conflicting Air	craft and Action		
4	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness	
5	Human Factors	Unnecessary Action	Events involving flight crew performing an action that was not required	Pilot was concerned by the proximity of the other aircraft	
	• Electronic	Warning System Operation and C	ompliance		
6	Contextual	• ACAS/TCAS RA	An event involving a genuine airborne collision avoidance system/traffic alert and collision avoidance system resolution advisory warning triggered		
	• See and Avoid				
7	Human Factors	• Lack of Individual Risk Perception	Events involving flight crew not fully appreciating the risk of a particular course of action	Pilot flew close enough to cause concern	

	2023012			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Elements			
	 Situationa 	l Awareness of the Conflicting Air	craft and Action	
1	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• Electronic	Warning System Operation and C	ompliance	
2	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
	See and A	void		
3	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots
4	Human Factors	Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft

	2023013			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Ground Eler	nents		
	 Regulation 	ns, Processes, Procedures and Con	npliance	
1	Human Factors	ATM Regulatory Deviation	An event involving a deviation from an Air Traffic Management Regulation.	Regulations and/or procedures not fully complied with
	Situational Awareness and Action			
2	Human Factors	ANS Traffic Information Provision	Provision of ANS traffic information	TI not provided, inaccurate, inadequate, or late
3	Human Factors	ATM Personnel Hear back	An event involving the hearback (listening) of ATM personnel to communications	

4	Contextual	Traffic Management Information Action	An event involving traffic management information actions	The ground element had only generic, late, no or inaccurate Situational Awareness
	Flight Eleme			
	• Regulation	s, Processes, Procedures and Con		
5	Human Factors	Use of policy/Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with
	Tactical Plant	anning and Execution		
6	Human Factors	Action Performed Incorrectly	Events involving flight crew performing the selected action incorrectly	Incorrect or ineffective execution
7	Human Factors	Monitoring of Environment	Events involving flight crew not to appropriately monitoring the environment	Did not avoid/conform with the pattern of traffic already formed
8	Human Factors	 Pre-flight briefing and flight preparation 	An event involving incorrect, poor or insufficient pre-flight briefing	
	• Situationa	l Awareness of the Conflicting Air	craft and Action	
9	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• Electronic	Warning System Operation and C	ompliance	
10	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
	See and Av	void		
11	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots
12	Human Factors	Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft

	2023016					
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification		
	Flight Eleme	Flight Elements				
	 Regulation 	ns, Processes, Procedures and Con	npliance			
1	Human Factors	Use of policy/Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with		
	• Tactical Pla	anning and Execution				
2	Human Factors	Accuracy of Communication	Events involving flight crew using inaccurate communication - wrong or incomplete information provided	Ineffective communication of intentions		
3	Human Factors	Action Performed Incorrectly	Events involving flight crew performing the selected action incorrectly	Incorrect or ineffective execution		
4	Human Factors	Monitoring of Environment	Events involving flight crew not to appropriately monitoring the environment	Did not avoid/conform with the pattern of traffic already formed		
	• Situationa	l Awareness of the Conflicting Air	craft and Action			
5	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness		
	See and Avoid					
6	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots		
	Outcome I	Events				
7	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft,			

	balloon, dirigible or other piloted air	
	vehicles	

	2023017			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Eleme	ents		
	Tactical Plants	anning and Execution		
1	Human Factors	• Communications by Flight Crew with ANS	An event related to the communications between the flight crew and the air navigation service.	Pilot did not request appropriate ATS service or communicate with appropriate provider
2	Human Factors	• Transponder Selection and Usage	An event involving the selection and usage of transponders	
	• Situationa	l Awareness of the Conflicting Air	craft and Action	
3	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• Electronic	Warning System Operation and C	ompliance	
4	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
	See and Av	void		
5	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots
	Outcome Events			
6	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	

	2023021			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Ground Elen	nents		
	Situational Awareness and Action			
1	Contextual	• ANS Flight Information Provision	Provision of ANS flight information	The ATCO/FISO was not required to monitor the flight under a Basic Service
2	Human Factors	Conflict Detection - Not Detected	An event involving Air Navigation Services conflict not being detected.	
3	Contextual	Traffic Management Information Action	An event involving traffic management information actions	The ground element had only generic, late, no or inaccurate Situational Awareness
	Flight Eleme	ents		
	Tactical Plant	anning and Execution		
4	Human Factors	Action Performed Incorrectly	Events involving flight crew performing the selected action incorrectly	Incorrect or ineffective execution
	• Situationa	l Awareness of the Conflicting Air	craft and Action	
5	Human Factors	Interpretation of Automation or Flight Deck Information	Interpretation of Automation or Flight Deck Information by the flight crew.	Pilot engaged in other tasks
6	Human Factors	Monitoring of Communications	Events involving flight crew that did not appropriately monitor communications	
7	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• Electronic	Warning System Operation and C	ompliance	
8	Contextual	Other warning system operation	An event involving a genuine warning from an airborne system other than TCAS.	
	• See and Avoid			

9	Human Factors	Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
10	Human	Monitoring of Other Aircraft	Events involving flight crew not fully	Non-sighting or effectively a non-
	Factors		monitoring another aircraft	sighting by one or both pilots

	2023024					
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification		
	Ground Elements					
	Situational Awareness and Action					
1	Human Factors	• ANS Traffic Information Provision	Provision of ANS traffic information	TI not provided, inaccurate, inadequate, or late		
2	Human Factors	• Conflict Detection - Detected Late	An event involving the late detection of a conflict between aircraft			
3	Contextual	Traffic Management Information Action	An event involving traffic management information actions	The ground element had only generic, late, no or inaccurate Situational Awareness		
	Flight Eleme	nts				
	Tactical Plant	anning and Execution				
4	Human Factors	Aircraft Navigation	An event involving navigation of the aircraft.	Flew through promulgated and active airspace, e.g. Glider Site		
5	Human Factors	• Communications by Flight Crew with ANS	An event related to the communications between the flight crew and the air navigation service.	Pilot did not request appropriate ATS service or communicate with appropriate provider		
	• Situationa	l Awareness of the Conflicting Air	craft and Action			
6	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness		
	• Electronic	Warning System Operation and C	ompliance			
7	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment		
	See and Av	void				
8	Human Factors	Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots		
9	Contextual	Visual Impairment	Events involving impairment due to an inability to see properly	One or both aircraft were obscured from the other		
	Outcome I	Events				
10	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles			

	2023025					
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification		
	Flight Eleme	ents				
	Regulation	ns, Processes, Procedures and Con	npliance			
1	Human Factors	Use of policy/Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with		
	• Tactical Plant	anning and Execution				
2	Human Factors	Action Performed Incorrectly	Events involving flight crew performing the selected action incorrectly	Incorrect or ineffective execution		
3	Human Factors	Monitoring of Environment	Events involving flight crew not to appropriately monitoring the environment	Did not avoid/conform with the pattern of traffic already formed		
	• Situationa	Situational Awareness of the Conflicting Aircraft and Action				

4	Human Factors	Monitoring of Communications	Events involving flight crew that did not appropriately monitor		
5	Contextual	Situational Awareness and Sensory Events	communications Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness	
	• Electronic	Warning System Operation and C	ompliance		
6	Human Factors	Response to Warning System	An event involving the incorrect response of flight crew following the operation of an aircraft warning system	CWS misinterpreted, not optimally actioned or CWS alert expected but none reported	
	• See and A	void			
7	Human Factors	Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots	
8	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots	
	Outcome Events				
9	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles		

	2023026					
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification		
	Flight Eleme	ents		-		
	Tactical Plants	anning and Execution				
1	Human Factors	• Late Decision/Plan	Events involving flight crew making a decision too late to meet the needs of the situation			
	Situationa	l Awareness of the Conflicting Air	craft and Action			
2	Human Factors	Mentoring	Events involving the mentoring of an individual			
3	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness		
	• Electronic	Electronic Warning System Operation and Compliance				
4	Contextual	Other warning system operation	An event involving a genuine warning from an airborne system other than TCAS.			
	• See and A	void				
5	Human Factors	Lack of Individual Risk Perception	Events involving flight crew not fully appreciating the risk of a particular course of action	Pilot flew close enough to cause concern		
6	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots		
	Outcome Events					
7	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles			

	2023028					
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification		
	Flight Eleme	ents				
	Tactical Pl	Tactical Planning and Execution				
1	Human Factors	Communications by Flight Crew with ANS	An event related to the communications between the flight crew and the air navigation service.	Pilot did not request appropriate ATS service or communicate with appropriate provider		
2	Human Factors	Insufficient Decision/Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption		
	Situational Awareness of the Conflicting Aircraft and Action					

3	Human Factors	• Lack of Action	Events involving flight crew not taking any action at all when they should have done so	Pilot flew close enough to cause concern despite Situational Awareness		
4	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness		
5	Human Factors	Unnecessary Action	Events involving flight crew performing an action that was not required	Pilot was concerned by the proximity of the other aircraft		
	• Electronic	Electronic Warning System Operation and Compliance				
6	Contextual	Other warning system operation	An event involving a genuine warning from an airborne system other than TCAS.			
7	Human Factors	Response to Warning System	An event involving the incorrect response of flight crew following the operation of an aircraft warning system	CWS misinterpreted, not optimally actioned or CWS alert expected but none reported		

	2023030					
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification		
	Ground Elen	nents				
	• Situationa	l Awareness and Action				
1	Contextual	• ANS Flight Information Provision	Provision of ANS flight information	The ATCO/FISO was not required to monitor the flight under a Basic Service		
	Flight Eleme	nts				
	Situationa	Awareness of the Conflicting Air	craft and Action			
2	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness		
	• Electronic	Warning System Operation and C	ompliance			
3	Contextual	Other warning system operation	An event involving a genuine warning from an airborne system other than TCAS.			
	• See and Av	• See and Avoid				
4	Human Factors	Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft		

	2023031				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Ground Elen	nents	-	-	
	Situationa	l Awareness and Action			
1	Contextual	Frequency Congestion	An event involving frequency congestion that reduces the effectiveness of communications		
	• Electronic	Warning System Operation and C	ompliance		
2	Technical	STCA Warning	An event involving the triggering of a Short Term Conflict Alert (STCA) Warning		
	Flight Elements				
	• Electronic	Warning System Operation and C	ompliance		
3	Contextual	• ACAS/TCAS TA	An event involving a genuine airborne collision avoidance system/traffic alert and collision avoidance system traffic advisory warning triggered		
	• See and Avoid				
4	Human Factors	Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft	

	2023034				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Ground Eler	nents			
	• Electronic	Warning System Operation and C	ompliance		
1	Technical	STCA Warning	An event involving the triggering of a Short Term Conflict Alert (STCA) Warning		
	Flight Eleme	ents			
	 Situationa 	l Awareness of the Conflicting Air	craft and Action		
2	Human Factors	Understanding/ Comprehension	Events involving flight crew that did not understand or comprehend a situation or instruction	Pilot did not assimilate conflict information	
3	Human Factors	Unnecessary Action	Events-involving flight crew performing an action that was not required	Pilot was concerned by the proximity of the other aircraft	
	• Electronic Warning System Operation and Compliance				
4	Contextual	Other warning system operation	An event involving a genuine warning from an airborne system other than TCAS.		