Assessment Summary Sheet for UKAB Meeting on 14th September 2022

Total	Α	В	С	D	E
17	3	3	11	0	0

Airprox	Aircraft 1 (Type)	Aircraft 2 (Type)	Airspace (Class)	ICAO Risk
2022055	C152 (Civ FW)	PA28 (Civ FW)	Halton ATZ (G)	С
2022057	C130 (HQ Air Ops)	Unk AC (Unknown)	London FIR (G)	С
2022058	C182 (Civ FW)	Spitfire (Civ Comm)	London FIR (G)	С
2022059	C42 (Civ FW)	S76 (Civ Comm)	London FIR (G)	С
2022060	Jabiru J430 (Civ FW)	AW139 (Civ Helo)	London FIR (G)	С
2022062	SR22 (Civ FW)	ASG29 (Civ Gld)	London FIR (G)	В
2022063	DR40 (Civ FW)	PA28 (Civ FW)	Sherburn-in-Elmet ATZ (G)	А
2022064	PA28 (Civ FW)	Vans RV6A (Civ FW)	London FIR (G)	В
2022065	C152 (Civ FW)	Triplane (Civ FW)	Halton ATZ (G)	С
2022069	AS350 (Civ Comm)	F15 (Foreign Mil)	London FIR (G)	С
	Apache (1) (HQ JHC)	Apache (2) (HQ JHC)	Wattisham MATZ (G)	
2022070		to write a letter to the MAA to p Safety Recommendation from		С
2022071	KA-6E (Civ Gld)	C172 (Civ FW)	London FIR (G)	С
2022072	Skyranger Nynja (Civ FW)	PA28 (Civ FW)	London FIR (G)	А
2022073	C172 (Civ FW)	PA28 (Civ FW)	London FIR (G)	А
2022074	EV97 (Civ FW)	Gazelle (Civ Helo)	London FIR (G)	С
2022075	PA28 (Civ FW)	Kestrel 19 (Civ Gld)	London FIR (G)	С
	Paraglider (Civ Hang)	Wildcat (HQ JHC)	London FIR (G)	
2022084		C, HQAC, RNHQ and the CAA ted with rotor downwash on low	. , , ,	В

	2022055				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Flight Elements				
	Regulations, Processes, Procedures and Compliance				
1	Human Factors	Use of policy/Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with	
	Tactical Planning and Execution				
2	Human Factors	Airspace Infringement	An event involving an infringement / unauthorized penetration of a controlled or restricted airspace.	E.g. ATZ or Controlled Airspace	

3	Human Factors	Communications by Flight Crew with ANS	An event related to the communications between the flight crew and the air navigation service.	Pilot did not request appropriate ATS service or communicate with appropriate provider
4	Human Factors	Pre-flight briefing and flight preparation	An event involving incorrect, poor or insufficient pre-flight briefing	
	Situational Awa	reness of the Conflicting Aircra	ft and Action	
5	Human Factors	Monitoring of Communications	Events involving flight crew that did not appropriately monitor communications	
6	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• Electronic Warn	ing System Operation and Com	pliance	
7	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
	See and Avoid			
8	Human Factors	Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft

	2022057			
			TOOLING A LIST AT	LIKAD A LICE AT
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Ground Elements			
	 Manning and Eq 	_l uipment		
1	Technical	Radar Coverage	Radar Coverage	Non-functional or unavailable
	Situational Awa	reness and Action		
2	Human Factors	Conflict Detection - Not Detected	An event involving Air Navigation Services conflict not being detected.	
3	Contextual	Traffic Management Information Action	An event involving traffic management information actions	The ground element had only generic, late, no or inaccurate Situational Awareness
	Flight Elements			
	Situational Awa	reness of the Conflicting Aircra	oft and Action	
4	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• Electronic Warn	ing System Operation and Con	npliance	
5	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
	See and Avoid			
6	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots

	2022058				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Ground Elements				
	Situational Awa	reness and Action			
1	Contextual	Traffic Management Information Action	An event involving traffic management information actions	The ground element had only generic, late, no or inaccurate Situational Awareness	
	Flight Elements				
	Tactical Planning	g and Execution			
2	Human Factors	Communications by Flight Crew with ANS	An event related to the communications between the flight crew and the air navigation service.	Pilot did not request appropriate ATS service or communicate with appropriate provider	
3	Human Factors	Insufficient Decision/Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption	
	Situational Awa	reness of the Conflicting Aircra	ft and Action		

4	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	See and Avoid			
5	Human Factors	Lack of Individual Risk Perception	Events involving flight crew not fully appreciating the risk of a particular course of action	Pilot flew close enough to cause concern
6	Human Factors	Perception of Visual Information		Pilot was concerned by the proximity of the other aircraft

	2022059				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Ground Elements				
	Manning and Equipment				
1	Human Factors	ATM Leadership and Supervision	An event related to the leadership and supervision of ATM activities.		
	Situational Awa	reness and Action			
2	Human Factors	• ANS Traffic Information Provision	Provision of ANS traffic information	TI not provided, inaccurate, inadequate, or late	
3	Human Factors	Conflict Detection - Detected Late	An event involving the late detection of a conflict between aircraft		
4	Human Factors	Task Monitoring	Events involving an individual or a crew/ team not appropriately monitoring their performance of a task	Controller engaged in other tasks	
	• Electronic Warn	ing System Operation and Com	npliance		
5	Technical	• Conflict Alert System Failure	Conflict Alert System did not function as expected	The Conflict Alert system did not function or was not utilised in this situation	
	Flight Elements				
	Tactical Plannin	g and Execution			
6	Human Factors	• Action Performed Incorrectly	Events involving flight crew performing the selected action incorrectly	Incorrect or ineffective execution	
	Situational Awa	reness of the Conflicting Aircra	ft and Action		
7	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness	
	Electronic Warn	ing System Operation and Com	npliance		
8	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment	
9	Contextual	Other warning system operation	An event involving a genuine warning from an airborne system other than TCAS.		
	See and Avoid				
10	Human Factors	Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots	
11	Human Factors	Lack of Individual Risk Perception	Events involving flight crew not fully appreciating the risk of a particular course of action	Pilot flew close enough to cause concern	

	2022060				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Ground Elements				
	Electronic Warning System Operation and Compliance				
1	Technical	• Conflict Alert System Failure	Conflict Alert System did not function as expected	The Conflict Alert system did not function or was not utilised in this situation	
	Flight Elements				
	Tactical Planning and Execution				

2	Human Factors	• Late Decision/Plan	Events involving flight crew making a decision too late to meet the needs of the situation	
3	Human Factors	Pre-flight briefing and flight preparation	An event involving incorrect, poor or insufficient pre-flight briefing	
	Situational Awa	reness of the Conflicting Aircra	ft and Action	
4	Human Factors	• Lack of Action	Events involving flight crew not taking any action at all when they should have done so	Pilot flew close enough to cause concern despite Situational Awareness
5	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	Electronic Warn	ing System Operation and Com	npliance	
6	Contextual	• ACAS/TCAS RA	An event involving a genuine airborne collision avoidance system/traffic alert and collision avoidance system resolution advisory warning triggered	
	• See and Avoid			
7	Human Factors	Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
8	Human Factors	Incorrect Action Selection	Events involving flight crew performing or choosing the wrong course of action	Pilot flew close enough to cause concern

	2022062			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Elements			
	• Situational Awa	reness of the Conflicting Aircra	ft and Action	
1	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	Electronic Warn	ing System Operation and Com	pliance	
2	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
	• See and Avoid			
3	Human Factors	Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
4	Human Factors	 Monitoring of Other Aircraft 	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots
	Outcome Events			
5	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	

	2022063			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Elements			
	• Regulations, Pro	cesses, Procedures and Compl	iance	
1	Human Factors	Use of policy/Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with
	Tactical Planning and Execution			
2	Human Factors	Action Performed Incorrectly	Events involving flight crew performing the selected action incorrectly	Incorrect or ineffective execution
	Situational Awa	reness of the Conflicting Aircra	ft and Action	
3	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• Electronic Warn	ing System Operation and Com	pliance	

4	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment	
	See and Avoid				
5	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots	
	Outcome Events	3			
6	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles		

	2022064			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Elements			
	Situational Awa	reness of the Conflicting Aircra	ft and Action	
1	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• Electronic Warn	ing System Operation and Com	pliance	
2	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
	• See and Avoid			
3	Human Factors	Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
4	Human Factors	 Monitoring of Other Aircraft 	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots
	Outcome Events			
5	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	

	2022065						
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification			
	Flight Elements	Flight Elements					
	• Regulations, Pro	cesses, Procedures and Compl	iance				
1	Human Factors	Use of policy/Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with			
	Tactical Planning	g and Execution					
2	Human Factors	Airspace Infringement	An event involving an infringement / unauthorized penetration of a controlled or restricted airspace.	E.g. ATZ or Controlled Airspace			
3	Human Factors	Communications by Flight Crew with ANS	An event related to the communications between the flight crew and the air navigation service.	Pilot did not request appropriate ATS service or communicate with appropriate provider			
4	Human Factors	Monitoring of Environment	Events involving flight crew not to appropriately monitoring the environment	Did not avoid/conform with the pattern of traffic already formed			
5	Human Factors	 Pre-flight briefing and flight preparation 	An event involving incorrect, poor or insufficient pre-flight briefing				
	• Situational Awa	reness of the Conflicting Aircra	ft and Action				
6	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness			
	• Electronic Warn	ing System Operation and Com	pliance				
7	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment			

	See and Avoid			
8	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots
9	Contextual	Visual Impairment	Events involving impairment due to an inability to see properly	One or both aircraft were obscured from the other

	2022069			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Elements			
	Situational Awa	reness of the Conflicting Aircra	ft and Action	
1	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	See and Avoid			
2	Human Factors	Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
3	Human Factors	Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft

	2022070			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Elements			
	• Regulations, Pro	ocesses, Procedures and Compl	iance	
1	Human Factors	Flight Crew ATC Clearance Deviation	An event involving a deviation from an air traffic control clearance.	
2	Human Factors	Flight Crew ATM Procedure Deviation	An event involving flight crew deviation from applicable Air Traffic Management procedures.	
	Tactical Planning	g and Execution		
3	Human Factors	Action Performed Incorrectly	Events involving flight crew performing the selected action incorrectly	Incorrect or ineffective execution
	Situational Awa	reness of the Conflicting Aircra	ft and Action	
4	Human Factors	Incomplete Action	Events involving flight crew performing a task but then not fully completing that task or action that they were intending to carry out	Pilot did not sufficiently integrate with the other aircraft despite Situational Awareness
5	Human Factors	Interpretation of Automation or Flight Deck Information	Interpretation of Automation or Flight Deck Information by the flight crew.	Pilot engaged in other tasks
6	Human Factors	Mentoring	Events involving the mentoring of an individual	

	2022071			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Elements			
	Tactical Planning	g and Execution		
1	Human Factors	Aircraft Navigation	An event involving navigation of the aircraft.	Flew through promulgated and active airspace, e.g. Glider Site
2	Human Factors	Pre-flight briefing and flight preparation	An event involving incorrect, poor or insufficient pre-flight briefing	
	Situational Awa	reness of the Conflicting Aircra	ft and Action	
3	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• See and Avoid			
4	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots

	2022072				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Flight Elements				
	Tactical Planning	g and Execution			
1	Human Factors	 Pre-flight briefing and flight preparation 	An event involving incorrect, poor or insufficient pre-flight briefing		
	• Situational Awa	reness of the Conflicting Aircra	ft and Action		
2	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness	
	Electronic Warning System Operation and Compliance				
3	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment	
	• See and Avoid				
4	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots	
	Outcome Events				
5	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles		

	2022073				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Ground Elements				
	Situational Awareness and Action				
1	Human Factors	ANS Traffic Information Provision	Provision of ANS traffic information	TI not provided, inaccurate, inadequate, or late	
2	Human Factors	Conflict Detection - Detected Late	An event involving the late detection of a conflict between aircraft		
	Electronic Warr	ning System Operation and Com	npliance		
3	Technical	• Conflict Alert System Failure	Conflict Alert System did not function as expected	The Conflict Alert system did not function or was not utilised in this situation	
	Flight Elements				
	• Situational Awa	areness of the Conflicting Aircra	ft and Action		
4	Human Factors	Lack of Communication	Events involving flight crew that did not communicate enough - not enough communication	Pilot did not request additional information	
5	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness	
6	Human Factors	Understanding/Comprehens ion	Events involving flight crew that did not understand or comprehend a situation or instruction	Pilot did not assimilate conflict information	
	• Electronic Warr	ning System Operation and Com	pliance		
7	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment	
	See and Avoid				
8	Human Factors	 Monitoring of Other Aircraft 	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non- sighting by one or both pilots	
9	Contextual	Visual Impairment	Events involving impairment due to an inability to see properly	One or both aircraft were obscured from the other	
	Outcome Events				
10	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles		

	2022074			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Elements			
	Tactical Planning	g and Execution		
1	Human Factors	Communications by Flight Crew with ANS	An event related to the communications between the flight crew and the air navigation service.	Pilot did not request appropriate ATS service or communicate with appropriate provider
2	Human Factors	Insufficient Decision/Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption
3	Human Factors	Monitoring of Environment	Events involving flight crew not to appropriately monitoring the environment	Did not avoid/conform with the pattern of traffic already formed
4	Human Factors	 Pre-flight briefing and flight preparation 	An event involving incorrect, poor or insufficient pre-flight briefing	
	Electronic Warn	ing System Operation and Com	pliance	
5	Contextual	Other warning system operation	An event involving a genuine warning from an airborne system other than TCAS.	
	• See and Avoid			
6	Human Factors	Lack of Individual Risk Perception	Events involving flight crew not fully appreciating the risk of a particular course of action	Pilot flew close enough to cause concern

	2022075				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Ground Elements				
	Situational Awa	reness and Action			
1	Contextual	ANS Flight Information Provision	Provision of ANS flight information	The ATCO/FISO was not required to monitor the flight under a Basic Service	
	Flight Elements	Flight Elements			
	• Situational Awa	reness of the Conflicting Aircra	ft and Action		
2	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness	
	• Electronic Warn	ing System Operation and Com	npliance		
3	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment	
4	Human Factors	Response to Warning System	An event involving the incorrect response of flight crew following the operation of an aircraft warning system	CWS misinterpreted, not optimally actioned or CWS alert expected but none reported	
	See and Avoid				
5	Human Factors	Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots	

	2022084			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Ground Elements			
	• Regulations, Pro	cesses, Procedures and Compl	iance	
1	Human Factors	ATM Regulatory Deviation	An event involving a deviation from an Air Traffic Management Regulation.	Regulations and/or procedures not fully complied with
	Situational Awareness and Action			
2	Contextual	ANS Flight Information Provision	Provision of ANS flight information	The ATCO/FISO was not required to monitor the flight under a Basic Service
3	Human Factors	ANS Traffic Information Provision	Provision of ANS traffic information	TI not provided, inaccurate, inadequate, or late
4	Human Factors	Task Monitoring	Workload	Controller engaged in other tasks
	Flight Elements			

	Situational Awareness of the Conflicting Aircraft and Action			
5	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	Electronic Warning System Operation and Compliance			
6	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
	See and Avoid			
7	Contextual	Loss of Separation	An event involving a loss of separation between aircraft	Pilot flew into conflict
	Outcome Events			
8	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	