

Assessment Summary Sheet for UKAB Meeting on Wednesday 9th November 2022

Total	A	B	C	D	E
17	3	3	8	0	3

Airprox	Aircraft 1 (Type)	Aircraft 2 (Type)	Airspace (Class)	ICAO Risk
2022095	DG1001 (Civ Gld)	PC12 (Civ FW)	London FIR (G)	E
2022096	H145 (HEMS)	PA28 (Civ FW)	London FIR (G)	E
2022097	DJI Matrice (Civ UAS)	Light-Aircraft (Unknown)	Scottish FIR (G)	C
2022100	F35 (HQ Air Ops)	C182 (Civ FW)	London FIR (G)	C
2022101	Duo Discus (Civ Gld)	PA28 (Civ FW)	London FIR (G)	A
2022102	DR400 (Civ FW)	PA28 (Civ FW)	Tatenhill ATZ (G)	B
2022103	ATR42 (CAT)	PA28 (Civ FW)	Scottish FIR (G)	E
2022104	AW109 (HQ Air Ops)	ASW27 (Civ Gld)	London FIR (G)	C
2022105	P68 (Civ Comm)	Paraglider (Civ Hang)	London FIR (G)	C
2022108	C560 (Civ Comm)	Grob 115 (Civ FW)	London FIR (G)	C
2022109	AS365 (HEMS)	Flex-wing Microlight (Civ FW)	London FIR (G)	C
2022110	Europa XS (Civ FW)	Vans RV8 (Civ FW)	London FIR (G)	C
2022111	SZD Junior (Civ Gld)	C130 (HQ Air Ops)	London FIR (G)	C
2022112	C172 (Civ FW)	Skyranger Nynja (Civ FW)	London FIR (G)	B
2022113	ASH31 (Civ Gld)	PA30 (Civ FW)	London FIR (G)	A
2022114	ASK21 (Civ Gld)	EV97 (Civ FW)	London FIR (G)	A
2022123	Skyranger Nynja (Civ FW)	PA28 (Civ FW)	London FIR (G)	B

2022095				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Ground Elements				
• Situational Awareness and Action				
1	Contextual	• ANS Flight Information Provision	Provision of ANS flight information	The ATCO/FISO was not required to monitor the flight under a Basic Service
Flight Elements				
• Situational Awareness of the Conflicting Aircraft and Action				
2	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• Electronic Warning System Operation and Compliance				
3	Technical	• ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
• See and Avoid				

4	Human Factors	• Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft
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2022096				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Flight Elements				
• Tactical Planning and Execution				
1	Human Factors	• Action Performed Incorrectly	Events involving flight crew performing the selected action incorrectly	Incorrect or ineffective execution
2	Human Factors	• Communications by Flight Crew with ANS	An event related to the communications between the flight crew and the air navigation service.	Pilot did not request appropriate ATS service or communicate with appropriate provider
3	Human Factors	• Monitoring of Environment	Events involving flight crew not to appropriately monitoring the environment	Did not avoid/conform with the pattern of traffic already formed
• Situational Awareness of the Conflicting Aircraft and Action				
4	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• Electronic Warning System Operation and Compliance				
5	Contextual	• ACAS/TCAS TA	An event involving a genuine airborne collision avoidance system/traffic alert and collision avoidance system traffic advisory warning triggered	

2022097				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Flight Elements				
• Situational Awareness of the Conflicting Aircraft and Action				
1	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• See and Avoid				
2	Human Factors	• Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
3	Human Factors	• Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft

2022100				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Ground Elements				
• Regulations, Processes, Procedures and Compliance				
1	Organisational	• Aeronautical Information Services	An event involving the provision of Aeronautical Information	The Ground entity's regulations or procedures were inadequate
• Situational Awareness and Action				
2	Human Factors	• Conflict Detection - Detected Late	An event involving the late detection of a conflict between aircraft	
3	Human Factors	• Traffic Management Information Provision	An event involving traffic management information provision	The ANS instructions contributed to the Airprox
• Electronic Warning System Operation and Compliance				
4	Technical	• Conflict Alert System Failure	Conflict Alert System did not function as expected	The Conflict Alert system did not function or was not utilised in this situation
Flight Elements				
• Electronic Warning System Operation and Compliance				
5	Human Factors	• Response to Warning System	An event involving the incorrect response of flight crew following the operation of an aircraft warning system	CWS misinterpreted, not optimally actioned or CWS alert expected but none reported

2022101				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Ground Elements				
• Situational Awareness and Action				
1	Contextual	• ANS Flight Information Provision	Provision of ANS flight information	The ATCO/FISO was not required to monitor the flight under a Basic Service
Flight Elements				
• Situational Awareness of the Conflicting Aircraft and Action				
2	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• Electronic Warning System Operation and Compliance				
3	Technical	• ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
• See and Avoid				
4	Human Factors	• Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
5	Human Factors	• Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots
6	Contextual	• Visual Impairment	Events involving impairment due to an inability to see properly	One or both aircraft were obscured from the other
• Outcome Events				
7	Contextual	• Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	

2022102				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Flight Elements				
• Regulations, Processes, Procedures and Compliance				
1	Human Factors	• Use of policy/Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with
• Tactical Planning and Execution				
2	Human Factors	• Action Performed Incorrectly	Events involving flight crew performing the selected action incorrectly	Incorrect or ineffective execution
3	Human Factors	• Monitoring of Environment	Events involving flight crew not to appropriately monitoring the environment	Did not avoid/conform with the pattern of traffic already formed
• Situational Awareness of the Conflicting Aircraft and Action				
4	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
5	Human Factors	• Understanding/Comprehension	Events involving flight crew that did not understand or comprehend a situation or instruction	Pilot did not assimilate conflict information
• Electronic Warning System Operation and Compliance				
6	Contextual	• Other warning system operation	An event involving a genuine warning from an airborne system other than TCAS.	
7	Human Factors	• Response to Warning System	An event involving the incorrect response of flight crew following the operation of an aircraft warning system	CWS misinterpreted, not optimally actioned or CWS alert expected but none reported
• See and Avoid				
8	Human Factors	• Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots

9	Human Factors	• Incorrect Action Selection	Events involving flight crew performing or choosing the wrong course of action	Pilot flew close enough to cause concern
• Outcome Events				
10	Contextual	• Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	

2022103				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Ground Elements				
• Situational Awareness and Action				
1	Contextual	• ANS Flight Information Provision	Provision of ANS flight information	The ATCO/FISO was not required to monitor the flight under a Basic Service
2	Human Factors	• ANS Traffic Information Provision	Provision of ANS traffic information	TI not provided, inaccurate, inadequate, or late
3	Human Factors	• Conflict Detection - Not Detected	An event involving Air Navigation Services conflict not being detected.	
4	Contextual	• Traffic Management Information Action	An event involving traffic management information actions	The ground element had only generic, late, no or inaccurate Situational Awareness
Flight Elements				
• Situational Awareness of the Conflicting Aircraft and Action				
5	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• Electronic Warning System Operation and Compliance				
6	Contextual	• ACAS/TCAS RA	An event involving a genuine airborne collision avoidance system/traffic alert and collision avoidance system resolution advisory warning triggered	
• See and Avoid				
7	Human Factors	• Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft

2022104				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Ground Elements				
• Situational Awareness and Action				
1	Human Factors	• ANS Traffic Information Provision	Provision of ANS traffic information	TI not provided, inaccurate, inadequate, or late
2	Human Factors	• Conflict Detection - Detected Late	An event involving the late detection of a conflict between aircraft	
3	Contextual	• Frequency Congestion	An event involving frequency congestion that reduces the effectiveness of communications	
4	Contextual	• Traffic Management Information Action	An event involving traffic management information actions	The ground element had only generic, late, no or inaccurate Situational Awareness
Flight Elements				
• Situational Awareness of the Conflicting Aircraft and Action				
5	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• Electronic Warning System Operation and Compliance				
6	Technical	• ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment

7	Contextual	• Other warning system operation	An event involving a genuine warning from an airborne system other than TCAS.	
• See and Avoid				
8	Human Factors	• Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
9	Human Factors	• Lack of Individual Risk Perception	Events involving flight crew not fully appreciating the risk of a particular course of action	Pilot flew close enough to cause concern
10	Human Factors	• Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots

2022105				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Flight Elements				
• Situational Awareness of the Conflicting Aircraft and Action				
1	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• Electronic Warning System Operation and Compliance				
2	Technical	• ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
• See and Avoid				
3	Human Factors	• Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots

2022108				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Ground Elements				
• Manning and Equipment				
1	Organisational	• ATM Staffing and Scheduling	An event related to the planning and scheduling of ATM personnel	
• Situational Awareness and Action				
2	Human Factors	• ANS Traffic Information Provision	Provision of ANS traffic information	TI not provided, inaccurate, inadequate, or late
Flight Elements				
• Regulations, Processes, Procedures and Compliance				
3	Human Factors	• Use of policy/Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with
• Tactical Planning and Execution				
4	Human Factors	• Communications by Flight Crew with ANS	An event related to the communications between the flight crew and the air navigation service.	Pilot did not request appropriate ATS service or communicate with appropriate provider
5	Human Factors	• Insufficient Decision/Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption
• Situational Awareness of the Conflicting Aircraft and Action				
6	Human Factors	• Lack of Action	Events involving flight crew not taking any action at all when they should have done so	Pilot flew close enough to cause concern despite Situational Awareness
7	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• Electronic Warning System Operation and Compliance				
8	Contextual	• ACAS/TCAS RA	An event involving a genuine airborne collision avoidance system/traffic alert and collision avoidance system resolution advisory warning triggered	
• See and Avoid				

9	Human Factors	• Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
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2022109				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Ground Elements				
• Situational Awareness and Action				
1	Contextual	• ANS Flight Information Provision	Provision of ANS flight information	The ATCO/FISO was not required to monitor the flight under a Basic Service
Flight Elements				
• Situational Awareness of the Conflicting Aircraft and Action				
2	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• Electronic Warning System Operation and Compliance				
3	Technical	• ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
4	Human Factors	• Response to Warning System	An event involving the incorrect response of flight crew following the operation of an aircraft warning system	CWS misinterpreted, not optimally actioned or CWS alert expected but none reported
• See and Avoid				
5	Human Factors	• Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
6	Contextual	• Visual Impairment	Events involving impairment due to an inability to see properly	One or both aircraft were obscured from the other

2022110				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Flight Elements				
• Regulations, Processes, Procedures and Compliance				
1	Organisational	• Flight Operations Documentation and Publications	Flight Operations Documentation and Publications	Inadequate regulations or procedures
2	Human Factors	• Use of policy/Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with
• Tactical Planning and Execution				
3	Human Factors	• Insufficient Decision/Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption
4	Human Factors	• Transponder Selection and Usage	An event involving the selection and usage of transponders	
• Situational Awareness of the Conflicting Aircraft and Action				
5	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• See and Avoid				
6	Human Factors	• Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft

2022111				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Flight Elements				
• Situational Awareness of the Conflicting Aircraft and Action				
1	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness

• Electronic Warning System Operation and Compliance				
2	Technical	• ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
3	Human Factors	• Response to Warning System	An event involving the incorrect response of flight crew following the operation of an aircraft warning system	CWS misinterpreted, not optimally actioned or CWS alert expected but none reported
• See and Avoid				
4	Human Factors	• Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots
5	Human Factors	• Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft

2022112				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Flight Elements				
• Situational Awareness of the Conflicting Aircraft and Action				
1	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• Electronic Warning System Operation and Compliance				
2	Technical	• ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
• See and Avoid				
3	Human Factors	• Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots
4	Contextual	• Visual Impairment	Events involving impairment due to an inability to see properly	One or both aircraft were obscured from the other
• Outcome Events				
5	Contextual	• Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	

2022113				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Flight Elements				
• Situational Awareness of the Conflicting Aircraft and Action				
1	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• Electronic Warning System Operation and Compliance				
2	Human Factors	• Response to Warning System	An event involving the incorrect response of flight crew following the operation of an aircraft warning system	CWS misinterpreted, not optimally actioned or CWS alert expected but none reported
• See and Avoid				
3	Human Factors	• Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots
• Outcome Events				
4	Contextual	• Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	

2022114				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Ground Elements				
• Situational Awareness and Action				
1	Contextual	• ANS Flight Information Provision	Provision of ANS flight information	The ATCO/FISO was not required to monitor the flight under a Basic Service
Flight Elements				
• Situational Awareness of the Conflicting Aircraft and Action				
2	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• Electronic Warning System Operation and Compliance				
3	Technical	• ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
• See and Avoid				
4	Human Factors	• Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots
5	Contextual	• Visual Impairment	Events involving impairment due to an inability to see properly	One or both aircraft were obscured from the other
• Outcome Events				
6	Contextual	• Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	

2022123				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
Flight Elements				
• Tactical Planning and Execution				
1	Human Factors	• Insufficient Decision/Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption
2	Human Factors	• Monitoring of Environment	Events involving flight crew not to appropriately monitoring the environment	Did not avoid/conform with the pattern of traffic already formed
• Situational Awareness of the Conflicting Aircraft and Action				
3	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
• Electronic Warning System Operation and Compliance				
4	Technical	• ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
• See and Avoid				
5	Human Factors	• Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
6	Human Factors	• Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots
• Outcome Events				
7	Contextual	• Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	