Assessment Summary Sheet for UKAB Meeting on Wednesday 22nd June 2022

Total	Α	В	С	D	E
17	1	7	7	0	2

Airprox	Aircraft 1 (Type)	Aircraft 2 (Type)	Airspace (Class)	ICAO Risk
2022007	H145 (HEMS)	Unk light-aircraft (Unknown)	London FIR (G)	С
2022009	SR22 (Civ FW)	PA28 (Civ FW)	London FIR (G)	В
2022010	Puma (HQ JHC)	Discus (Civ Gld)	London FIR (G)	С
2022011	Easy Raider (Civ FW)	PA28 (Civ FW)	London FIR (G)	В
2022012	Cabri G2 (Civ Helo)	PA28 (Civ FW)	Chichester/Goodwood ATZ (G)	Α
2022013	R22 (Civ Helo)	Van's RV8 (Civ FW)	Shobdon ATZ (G)	В
2022014	BE200 (Civ Comm)	TBM940 (Civ FW)	London FIR (G)	С
2022015	Juno (A) (HQ Air Trg)	Juno (B) (HQ Air Trg)	Shawbury ATZ (G)	С
2022017	Piper Cub (Civ FW)	C421 (Civ Comm)	London FIR (G)	В
2022020	PA28 (1) (CIV FW)	Ikarus C42 (Civ FW)	Compton Abbas ATZ (G)	В
2022021	DJI Matrice (Civ Comm)	PA28 (Civ FW)	London CTR (D)	Е
2022022	C152 (Civ FW)	PA28 (Civ FW)	London FIR (G)	С
	DJI Mavic 2 (Civ UAS)	Texan II (HQ Air Trg)	London FIR (G)	
2022024	Recommendation: The CAA and MAA jointly consider a coherent means by which non- recreational drone activity can be promulgated by drone operators and an associated method through which this information can be made available to other air users operating in either the UK Military Low Flying System or with a CAA permission to operate outside the provisions of ORS4 No.1496.			
2022025	PA28 (Civ FW)	SF260 (Civ FW)	London FIR (G)	С
2022026	DA40 (Civ FW)	SR22 (Civ FW)	Oxford ATZ (G)	С
2022028	Bulldog (Civ FW)	AS355 (Civ Helo)	London FIR (G)	В
2022029	Skyranger (Civ FW)	CL600 (Foreign Mil)	London FIR (G)	Е

	2022007			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Elements			
	Situational Awa	reness of the Conflicting	Aircraft and Action	
1	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• Electronic Warn	ing System Operation and	d Compliance	
2	Technical	• ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment

	2022009					
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification		
	Ground Elements					
	Electronic Warning System Operation and Compliance					
1	Technical	Conflict Alert System Failure	Conflict Alert System did not function as expected	The Conflict Alert system did not function or was not utilised in this situation		
	Flight Elements					
	• Regulations, Pro	cesses, Procedures and (Compliance			
2	Human Factors	Use of policy/Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with		
	Tactical Planning	g and Execution				
3	Human Factors	Communications by Flight Crew with ANS	An event related to the communications between the flight crew and the air navigation service.	Pilot did not request appropriate ATS service or communicate with appropriate provider		
4	Human Factors	• Transponder Selection and Usage	An event involving the selection and usage of transponders			
	Situational Awa	reness of the Conflicting	Aircraft and Action			
5	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness		
	• Electronic Warn	ing System Operation an	d Compliance			
6	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment		
	See and Avoid					
7	Human Factors	• Identification/ Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots		
8	Contextual	• Loss of Separation	An event involving a loss of separation between aircraft	Pilot flew into conflict		
	Outcome Events					
9	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles			

	2022010			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Ground Elements			
	Situational Awar	reness and Action		
1	Human Factors	Conflict Detection - Not Detected	An event involving Air Navigation Services conflict not being detected.	
2	Contextual	Traffic Management Information Action	An event involving traffic management information actions	The ground element had only generic, late, no or inaccurate Situational Awareness
	Flight Elements			
	Situational Awa	reness of the Conflicting	Aircraft and Action	
3	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• Electronic Warn	ing System Operation an	d Compliance	
4	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
	See and Avoid			
5	Human Factors	Lack of Individual Risk Perception	Events involving flight crew not fully appreciating the risk of a particular course of action	Pilot flew close enough to cause concern
6	Human Factors	Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft

	2022011				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Ground Elements				
	Situational Awa	reness and Action			
1	Contextual	ANS Flight Information Provision	Provision of ANS flight information	The ATCO/FISO was not required to monitor the flight under a Basic Service	
	• Electronic Warn	ing System Operation an	d Compliance		
2	Technical	Conflict Alert System Failure	Conflict Alert System did not function as expected	The Conflict Alert system did not function or was not utilised in this situation	
	Flight Elements				
	Situational Awa	reness of the Conflicting	Aircraft and Action		
3	Contextual	• Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness	
	See and Avoid				
4	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots	
5	Contextual	Visual Impairment	Events involving impairment due to an inability to see properly	One or both aircraft were obscured from the other	
	Outcome Events				
6	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles		

	2022012					
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification		
	Ground Elements					
	Regulations, Processes, Procedures and Compliance					
1	Organisational	Aeronautical Information Services	An event involving the provision of Aeronautical Information	The Ground entity's regulations or procedures were inadequate		
2	Human Factors	ATM Regulatory Deviation	An event involving a deviation from an Air Traffic Management Regulation.	Regulations and/or procedures not fully complied with		
	• Situational Awa	reness and Action				
3	Human Factors	ANS Traffic Information Provision	Provision of ANS traffic information	TI not provided, inaccurate, inadequate, or late		
4	Human Factors	• Expectation/ Assumption	Events involving an individual or a crew/ team acting on the basis of expectation or assumptions of a situation that is different from the reality			
5	Contextual	Traffic Management Information Action	An event involving traffic management information actions	The ground element had only generic, late, no or inaccurate Situational Awareness		
	Flight Elements					
	• Regulations, Pro	ocesses, Procedures and Co	mpliance			
6	Human Factors	• Use of policy/ Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with		
	Tactical Plannin	g and Execution				
7	Human Factors	Accuracy of Communication	Events involving flight crew using inaccurate communication - wrong or incomplete information provided	Ineffective communication of intentions		
8	Human Factors	Action Performed Incorrectly	Events involving flight crew performing the selected action incorrectly	Incorrect or ineffective execution		
	• Situational Awa	reness of the Conflicting Ai	rcraft and Action			
9	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness		
	See and Avoid					
10	Human Factors	Distraction - Job Related	Events where flight crew are distracted for job related reasons			

11	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots	
12	Contextual	Visual Impairment	Events involving impairment due to an inability to see properly	One or both aircraft were obscured from the other	
	Outcome Events				
13	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles		

	2022013			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Ground Elements			
	• Regulations, Pro			
1	Organisational	Aeronautical Information Services	An event involving the provision of Aeronautical Information	The Ground entity's regulations or procedures were inadequate
	Flight Elements			
	• Regulations, Pro	cesses, Procedures and Co	mpliance	
2	Human Factors	Use of policy/Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with
	Tactical Planning	g and Execution		
3	Human Factors	Accuracy of Communication	Events involving flight crew using inaccurate communication - wrong or incomplete information provided	Ineffective communication of intentions
4	Human Factors	Action Performed Incorrectly	Events involving flight crew performing the selected action incorrectly	Incorrect or ineffective execution
5	Human Factors	Late Decision/Plan	Events involving flight crew making a decision too late to meet the needs of the situation	
6	Human Factors	Monitoring of Environment	Events involving flight crew not to appropriately monitoring the environment	Did not avoid/conform with the pattern of traffic already formed
	Situational Awa	reness of the Conflicting Ai	rcraft and Action	
7	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	See and Avoid			
8	Contextual	Loss of Separation	An event involving a loss of separation between aircraft	Pilot flew into conflict
9	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots
10	Contextual	Visual Impairment	Events involving impairment due to an inability to see properly	One or both aircraft were obscured from the other
	Outcome Events	3		
11	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	

	2022014			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Elements			
	Tactical Planning and Execution			
1	Human Factors	• Insufficient Decision/ Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption
	Situational Awa	reness of the Conflicting Airc	raft and Action	
2	Human Factors	• Lack of Action	Events involving flight crew not taking any action at all when they should have done so	Pilot flew close enough to cause concern despite Situational Awareness
	• Electronic Warn	ing System Operation and Co	ompliance	

3	Contextual	• ACAS/TCAS RA	An event involving a genuine airborne collision avoidance system/traffic alert and collision avoidance system resolution advisory warning triggered	
4	Contextual	• ACAS/TCAS TA	An event involving a genuine airborne collision avoidance system/traffic alert and collision avoidance system traffic advisory warning triggered	
	See and Avoid			
5	Human Factors	• Identification/ Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots

	2022015			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Ground Elements			
	• Regulations, Pro	cesses, Procedures and Com	pliance	
1	Human Factors	ATM Regulatory Deviation	An event involving a deviation from an Air Traffic Management Regulation.	Regulations and/or procedures not fully complied with
	Flight Elements			
	Situational Awa	reness of the Conflicting Airc	raft and Action	
2	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	See and Avoid			
3	Human Factors	• Identification/ Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
4	Human Factors	Lack of Individual Risk Perception	Events involving flight crew not fully appreciating the risk of a particular course of action	Pilot flew close enough to cause concern
5	Human Factors	Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft

	2022017					
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification		
	Ground Elements	Ground Elements				
	Situational Awareness and Action					
1	Contextual	ANS Flight Information Provision	Provision of ANS flight information	The ATCO/FISO was not required to monitor the flight under a Basic Service		
	Flight Elements					
	Tactical Planning	g and Execution				
2	Human Factors	• Insufficient Decision/Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption		
3	Human Factors	Monitoring of Environment	Events involving flight crew not to appropriately monitoring the environment	Did not avoid/conform with the pattern of traffic already formed		
	Situational Awa	reness of the Conflicting Airc	raft and Action			
4	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness		
	See and Avoid					
5	Human Factors	Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots		
6	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots		

	Outcome Events	5		
7	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	

	2022020			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Elements			
	Tactical Planning	g and Execution		
1	Human Factors	Insufficient Decision/Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption
	Situational Awa	reness of the Conflicting Ai	rcraft and Action	
2	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	• See and Avoid			
3	Human Factors	Distraction - Job Related	Events where flight crew are distracted for job related reasons	
4	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots
5	Contextual	Visual Impairment	Events involving impairment due to an inability to see properly	One or both aircraft were obscured from the other
	Outcome Events			
6	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles	

	2022021			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Ground Elements			
	Situational Awa	reness and Action		
1	Contextual	Traffic Management Information Action	An event involving traffic management information actions	The ground element had only generic, late, no or inaccurate Situational Awareness
	Flight Elements			
	Situational Awareness of the Conflicting Aircraft and Action			
2	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	See and Avoid			
3	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots
4	Human Factors	Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft

	2022022				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Flight Elements				
	Regulations, Processes, Procedures and Compliance				
1	Human Factors	Use of policy/ Procedures	Events involving the use of the relevant policy or procedures by flight crew	Regulations and/or procedures not complied with	
	Tactical Planning	g and Execution			
2	Human Factors	• Insufficient Decision/Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption	
	Situational Awar	Situational Awareness of the Conflicting Aircraft and Action			

3	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	See and Avoid			
4	Human Factors	Lack of Individual Risk Perception	Events involving flight crew not fully appreciating the risk of a particular course of action	Pilot flew close enough to cause concern
5	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots

	2022024			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Flight Elements			
	• Regulations, Pro	cesses, Procedures and Com	pliance	
1	Organisational	 Flight Operations Documentation and Publications 	Flight Operations Documentation and Publications	Inadequate regulations or procedures
	Tactical Planning	g and Execution		
2	Organisational	• Flight Planning Information Sources	An event involving incorrect flight planning sources during the preparation for a flight.	
	Situational Awar	reness of the Conflicting Airc	raft and Action	
3	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	Electronic Warning System Operation and Compliance			
4	Technical	• ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
	See and Avoid			
5	Human Factors	• Identification/ Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
6	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots
7	Contextual	Visual Impairment	Events involving impairment due to an inability to see properly	One or both aircraft were obscured from the other
	Outcome Events	3		
8	Contextual	• Near Airborne Collision with RPAS	An event involving a near collision with a remotely piloted air vehicle	

	2022025				
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification	
	Ground Elements				
	Situational Awa	reness and Action			
1	Contextual	ANS Flight Information Provision	Provision of ANS flight information	The ATCO/FISO was not required to monitor the flight under a Basic Service	
	Flight Elements				
	Tactical Planning and Execution				
2	Human Factors	Action Performed Incorrectly	Events involving flight crew performing the selected action incorrectly	Incorrect or ineffective execution	
3	Human Factors	• Insufficient Decision/ Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption	
	Situational Awareness of the Conflicting Aircraft and Action				
4	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness	
	Electronic Warn	ing System Operation and Co	ompliance		

5	Contextual	Other warning system operation	An event involving a genuine warning from an airborne system other than TCAS.	
6	Human Factors	Response to Warning System	An event involving the incorrect response of flight crew following the operation of an aircraft warning system	CWS misinterpreted, not optimally actioned or CWS alert expected but none reported
	See and Avoid			
7	Human Factors	Identification/Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
8	Human Factors	Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft

	2022026					
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification		
	Flight Elements	Flight Elements				
	Tactical Planning	g and Execution				
1	Human Factors	Insufficient Decision/Plan	Events involving flight crew not making a sufficiently detailed decision or plan to meet the needs of the situation	Inadequate plan adaption		
	Situational Awareness of the Conflicting Aircraft and Action					
2	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness		
	Electronic Warning System Operation and Compliance					
3	Human Factors	Response to Warning System	An event involving the incorrect response of flight crew following the operation of an aircraft warning system	CWS misinterpreted, not optimally actioned or CWS alert expected but none reported		
	See and Avoid					
4	Human Factors	Lack of Individual Risk	Events involving flight crew not fully appreciating the risk of a particular course	Pilot flew close enough to		
		Perception	of action	cause concern		

	2022028					
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification		
	Ground Elements					
	Electronic Warn	ing System Operation and Co	ompliance			
1	Technical	Conflict Alert System Failure	Conflict Alert System did not function as expected	The Conflict Alert system did not function or was not utilised in this situation		
	Flight Elements					
	Tactical Planning	g and Execution				
2	Human Factors	Communications by Flight Crew with ANS	An event related to the communications between the flight crew and the air navigation service.	Pilot did not request appropriate ATS service or communicate with appropriate provider		
	• Situational Awa	reness of the Conflicting Airc	raft and Action			
3	Human Factors	• Lack of Action	Events involving flight crew not taking any action at all when they should have done so	Pilot flew close enough to cause concern despite Situational Awareness		
4	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness		
	Electronic Warn	Electronic Warning System Operation and Compliance				
5	Contextual	Other warning system operation	An event involving a genuine warning from an airborne system other than TCAS.			
	See and Avoid					

6	Human Factors	Monitoring of Other Aircraft	Events involving flight crew not fully monitoring another aircraft	Non-sighting or effectively a non-sighting by one or both pilots	
	Outcome Events				
7	Contextual	Near Airborne Collision with Aircraft	An event involving a near collision by an aircraft with an aircraft, balloon, dirigible or other piloted air vehicles		

	2022029			
CF	Factor	Description	ECCAIRS Amplification	UKAB Amplification
	Ground Elements			
	Electronic Warning System Operation and Compliance			
1	Technical	Conflict Alert System Failure	Conflict Alert System did not function as expected	The Conflict Alert system did not function or was not utilised in this situation
	Flight Elements			
	Tactical Planning and Execution			
2	Human Factors	Communications by Flight Crew with ANS	An event related to the communications between the flight crew and the air navigation service.	Pilot did not request appropriate ATS service or communicate with appropriate provider
	Situational Awareness of the Conflicting Aircraft and Action			
3	Contextual	Situational Awareness and Sensory Events	Events involving a flight crew's awareness and perception of situations	Pilot had no, late, inaccurate or only generic, Situational Awareness
	Electronic Warning System Operation and Compliance			
4	Technical	ACAS/TCAS System Failure	An event involving the system which provides information to determine aircraft position and is primarily independent of ground installations	Incompatible CWS equipment
5	Contextual	Other warning system operation	An event involving a genuine warning from an airborne system other than TCAS.	
	See and Avoid			
6	Human Factors	• Identification/ Recognition	Events involving flight crew not fully identifying or recognising the reality of a situation	Late sighting by one or both pilots
7	Human Factors	Perception of Visual Information	Events involving flight crew incorrectly perceiving a situation visually and then taking the wrong course of action or path of movement	Pilot was concerned by the proximity of the other aircraft